

December 27, 2001

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

Attorney's Docket No. 20010529.ORI

International Application No.: PCT/GB00/02497

International Filing Date: 28 June 2000

Priority Date Claimed: 30 June 1999

Title of Invention: CONTROL OF GENE EXPRESSION

Applicant(s) for DO/EO/US: BULUWELA, Lakjaya  
ALI, Simak

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BOX PCT  
COMMISSIONER OF PATENTS AND TRADEMARKS  
WASHINGTON, D.C. 20231

Dear Sir:

Applicant hereby submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c) (2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau.)
  - b. ☒ has been transmitted by the Int'l Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)

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6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the Int'l Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An unexecuted oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11-16 below concern documents or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information: Return Receipt Postcard, Published Application, PCT Request, Notification of Transmittal of International Search Report, Written Opinion, Notification of Transmittal of International Preliminary Examination Report, Notice Informing Applicant of Communication of International Application to the Designated Offices

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17. X The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492(S)(1)-(5)):  
Search Report has been prepared by the EPO or JPO \$  
International preliminary examination fee  
paid to USPTO (37 CFR 1.482) \$ 740.00  
No international preliminary examination fee  
paid to USPTO (35 CFR 1.482) but international  
search fee paid to USPTO (37 CFR 1.445(a)(2)) \$  
Neither international preliminary examination  
fee (37 CFR 1.482) nor international search  
fee (37 CFR 1.445(a)(2)) paid to USPTO \$  
International preliminary examination fee paid  
to USPTO (37 CFR 1.482) and all claims  
satisfied provisions of PCT Article 33(2)-(4) \$

ENTER APPROPRIATE BASIC FEE AMOUNT: \$ 740.00  
Surcharge of \$130.00 for furnishing oath later than  
\_\_\_\_ (20) \_\_\_\_ (30) months from the earliest claimed  
priority date \$

Total number of claims in excess  
of 20, times \$18.00 . . . . . \$ 1224.00  
Number of independent claims,  
minus 3, times \$84.00 . . . . . \$ 252.00  
Surcharge fee (\$240.00) for filing  
of multiple dependent claim(s) . \$ 280.00  
TOTAL OF ABOVE CALCULATIONS \$1756.00

Reduction by 1/2 for filing by small entity,  
if applicable CR\$  
Fee for recording assignment . . . . \$

TOTAL FEES ENCLOSED \$2496.00

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- X a. A check in the amount of \$2496.00 to cover the above fees is enclosed.
- X b. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 08-1265. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

NIKOLAI & MERSEREAU, P.A.



C. G. Mersereau  
Attorney for Applicant  
Registration No. 26205  
900 Second Avenue South  
Suite 820, International Centre  
Minneapolis, MN 55402  
Telephone: 612-339-7461

Express Mail No. EL804138545US

PATENT APPLICATION

Docket No. 20010529.ORI

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re App : Lakjaya Buluwela et al. : December 27, 2001

For : CONTROL OF GENE EXPRESSION

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**CERTIFICATE OF MAILING VIA EXPRESS MAIL**

BOX PCT  
Commissioner of Patents and Trademarks  
Washington, D. C. 20231

Sir:

I hereby certify that the attached Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning A Filing Under 35 U.S.C. 371, a copy of the PCT International Search Report, a copy of the English Translation of the International Application as filed, Preliminary Amendment, a copy of the PCT Request, Notification of Transmittal of International Preliminary Examination Report, PCT Written Opinion, an unexecuted Declaration for Patent Application and Power of Attorney, and a check in the amount of \$2496.00 to cover the filing fee, all in connection with the above-identified patent application are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to: BOX PCT, Commissioner of Patents and Trademarks, Washington, D. C. 20231, under Express Mail Post Office to Addressee Label No. EL804138545US.

Respectfully submitted,

NIKOLAI & MERSEREAU, P.A.

*Barbara L. Davis*

Barbara L. Davis  
On Behalf of C. G. Mersereau, 26205  
Attorney for Applicant  
900 Second Avenue South, #820  
Minneapolis, MN 55402  
(612) 339-7461